

# Rogge Dunn

Rogge Dunn is a fifth generation Texan and second generation Dallasite. Dunn and his wife, Cathy DeWitt Dunn, are active in charitable, political, and civic causes.

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### **Board Certifications**

- Civil Trial Law
- Labor & Employment Law
- · Texas Board of Legal Specialization

# Adjunct Professor

• Adjunct Professor, Southern Methodist University, MBA Program 1986–1998, 2008–present.

# Education

- J.D., cum laude, UT Law School, 1983
- Texas Law Review 1982-83
- Board of Advocates 1981-83
- B.A., cum laude, SMU, 1980 (Departmental Distinction: English)

# Judicial Clerkship

• Law Clerk to Hon. Reynaldo Garza, U.S. Fifth Circuit Court of Appeals 1983-84

# **PAC Treasurer**

• Treasurer, Bloomin' Brands, PAC — Texas

# Finance Co-Chair

• Finance Co-Chair for John Creuzot for DA campaign

# Court Admissions

- The Texas Bar
- The United States Supreme Court
- The United States Court of Appeals for the Fifth Circuit
- All Federal district Courts in Texas
- The Middle District of Florida
- · The Western District of Oklahoma
- The Eastern and Western Districts of Missouri
- The Eastern and Western Districts of Arkansas
- The District Court of Colorado
- The District Court of District of Columbia.

### Dunn Understands Executives & Business Owners

Successfully resolving business disputes requires more than good negotiating skills. It requires appreciating how businesses operate and executives think.

Dunn is an Adjunct Professor in the SMU Executive EMBA program. His 20+ years of teaching, including *listening to* and exchanging business solutions with successful entrepreneurs, enables him to reach business-smart solutions.

# Complex Litigation

Dunn is one of only 25 attorneys in Texas Board Certified in both Civil Trial Law and in Labor and Employment Law. He litigates business, employment, and personal injury disputes throughout the country.

He has represented clients in disputes arising in Australia, Brazil, Canada, China, Dubai, England, France, Hong Kong, India, Mexico, the Netherlands, Scotland, Spain, and Qatar.

Dunn handles employment, partnerships, FINRA arbitration, class actions, defamation, privacy issues, shareholder oppression, "business divorce," non-competes, trade secrets, whistleblowing, and significant personal injury matters.

# Confidentiality

While Dunn's high-profile cases have garnered national and international attention, he has discretely resolved many more cases not listed on our website. Dunn knows that many clients need a quick, quiet, and confidential resolution.

Dunn helps individuals and corporations in delicate or compromising situations who need a sophisticated and discrete touch. These include everything from assaults, regulatory violations, sexual battery, doxxing, secret videotaping, and illegitimate children.

Clients who need an experienced and politically connected problem solver hire Dunn. We are experts at controlling the external optics to protect our clients' reputations.

### Controlling the Narrative

In this instant messaging and social media environment, stories go viral in a matter of seconds. Working with top PR firms, Dunn ensures the facts involving legal claims are portrayed accurately.

# Change-in-Control Agreements

Dunn has advised C-level executives in mergers, change-in-control, and "poison pill" battles involving Baker Hughes, Chevron, Dresser, FedEx, Frozen Food Express, GE Energy, Halliburton, Kinkos, Pizza Inn, Sperry-Sun Drilling, SunEdison, Unocal, and the Williams Companies.

### Corporate Investigations

Fortune 500 companies hire Dunn to investigate corporate governance and other sensitive matters. One of Dunn's investigations was cited by the U.S. Fifth Circuit Court of Appeals as a basis for his client (CBRE) obtaining a summary judgment. Sandstad v. CB Richard Ellis, 309 F.3d 893 (5th Cir. 2002).

# Representation of High Profile Individuals

Rogge Dunn represents ultra-high net worth individuals, *Fortune* 500, executives, as well as General Counsels, private equity firms, coaches, professional athletes, Olympic gold medal winners, Judges, and University Presidents.

One of the highest testaments to Dunn's abilities is the fact that many companies and individuals Dunn has sued, later hired Dunn to represent them.

### Is Your Case Too Small?

Most of Dunn's work involves high dollar, "bet the company/bet your reputation" matters. However, as Dunn says, "I became a lawyer because I enjoy fighting for a good cause. There is no greater privilege in my work than righting a wrong and slaying a Goliath."

Dunn's success gives him the financial security to accept smaller matters, work on a contingent fee, and a pro bono basis.

# Paper Pushers Can Take You Only So Far

Choose your attorney carefully. Many attorneys are adept at pushing paper, but seldom take cases all the way to a jury verdict. Ask the lawyer *specifically* how many times he or she has obtained a jury verdict.

Hiring an experienced trial lawyer who flourishes at trial increases your settlement leverage. If the other side knows your

lawyer is bluffing, you will leave money on the table or overpay if you are a defendant. When the other side knows they are negotiating against a seasoned trial attorney, you maximize your settlement position, as either a plaintiff or defendant.

# Thinking and Operating "Outside the Box"

Dunn earned his spurs as a Senior Partner in two different mega-firms with offices around the world. While huge firms are a good choice for many matters, they are bureaucratic and often lack flexibility and entrepreneurial spirit.

Dunn formed our Firm to provide the contingent fee flexibility and personal service lacking in most big firms.

# **Awards**

- Rated as one of the top 100 attorneys in Texas, a Thomson Reuters service published in Texas Monthly
  - o 2018 and 2008
- Recognized as one of the Best Lawyers in Dallas by D Magazine
  - 2019, 2018, 2016, 2015, 2013, 2012, 2011, 2009, 2005, 2003
- Rated a top 100 attorney in Dallas/Fort Worth, a Thomson Reuters service published in Texas Monthly
  - o 2018, 2017, 2011, 2010, 2009, 2008, 2006, and 2005
- Rated as one of the Best 93 Lawyers in Dallas who represent individuals by D Magazine
  - 2009
- · Honored as a Texas "Super Lawyer," a Thomson Reuters service, published in Texas Monthly
  - Every year 2003 to 2019
- Recognized in The Best Lawyers in America® for Commercial Litigation and Employment Law Management
  - o 2021, 2019, 2018, 2017, 2016
- Rated as Leading Lawyer in America, LawDragon
  - o 2016, 2015, 2010, 2008, 2007
- Purveyor of the Year, Multi-Concept Outback Steakhouse
  - o 2010, 2009, 2008, 2007, 2006 and 2005
- Purveyor of the Year, Outback Steakhouse
  - o 2004, 2002, 2001
- Purveyor of the Year, Carrabba's Italian Grill
  - o 2004, 2002
- Board Certified: Civil Trial Advocacy National Board of Trial Advocacy
  - 2003
- Life member, Million Dollar Advocates Forum
  - o 1999
- Martindale Hubbell AV Preeminent rating
  - 2010 to present
- Martindale Hubbell AV Rating
  - o 1996 to present
- Life Fellow, Texas Bar Foundation
  - ∘ 2006
- Distinguished Pro Bono Service Award, N. Tex. Legal Services
  - 0 1988



Rogge Dunn named one of the most powerful business leaders in North Texas 2021 in *D CEO's* 2021 Edition of the *Dallas 500* 





























# Results

- Obtained TROs, injunctions and other equitable relief throughout the nation
- Successful defense of oil and gas company exercising preferential purchase rights involving O&G properties in New Mexico and Texas worth \$1.5+ billion (New Mexico)
- Successful defense of oil and gas partnership sued over ownership of 30,000 acre mineral lease involving Barnett Shale in West Texas worth an estimated \$1 billion
- Successful defense of insurance carrier in \$100 million commercial mold claim
- Negotiated removal of co-manager, loan payoff and extinguished management rights of minority members of healthcare company worth \$50+ million
- Successful defense of Fortune 500 real estate company sued for \$43 million over sale of Arkansas office park (Arkansas)
- Won summary judgment in favor of inspection company sued for \$38 million for alleged defective inspection of
  pressure vessels on offshore rigs and won \$92,000 in attorneys' fees against the Plaintiff
- Hired by the Commonwealth of Pennsylvania to enforce a \$14.3 million judgment in Texas
- Obtained \$13 million severance package for President of American Airlines
- · Successful defense of law firm partner sued by former partner regarding dispute over a \$13+ million attorneys' fee
- Won divorce trial enforcing Client's \$10.5 million marital property agreement
- Obtained summary dismissal in favor of Client, Texas Tech President Guy Bailey, in \$12.5 million dollar lawsuit filed in Lubbock by former coach Mike Leach against Texas Tech University and Tech's President
- Successful defense and settlement of overtime, travel time and job classification claims filed against an oil and gas company in San Antonio
- · Successful defense and settlement of overtime claim claims by pumpers against an oil and gas company in Midland
- Ongoing representation regarding real estate issues and litigation for private equity real estate group with more than \$1 billion in assets
- Successful negotiation of commission interests for Fortune 500 commercial real estate brokerage firm involving \$65 million Austin land sale
- · Obtained expungement in 84 days for a financial advisor that Morgan Stanley who manages \$1+ Billion in AUM
- Defense and favorable settlement for corporate entity sued by two plaintiffs for wrongful death and serious injury arising out of activities on executive's private hunting camp in South Texas
- \$800,000 settlement for client who contracted food poisoning at an Oklahoma restaurant (client's net \$510,000)
- Obtained summary judgement for Fortune 1000 company sued for \$9 million by employees' estates over a triple homicide in one of its East Texas stores
- Defended Barron's national top 100 financial advisors team sued in FINRA arb for \$1.5+ million on promissory note.
   Settled the prom note claim against all three clients for payment of only \$1,000
- Successful resolution of lawsuit for a property insurance carrier sued by medical practice group for \$1.5 million and insurance bad faith
- Won take nothing arbitration ruling in favor of a Fortune 150 company accused of discrimination and retaliation and obtained \$18,945 counter-claim judgment against the Plaintiff for repayment of unearned draws
- Successful defense of one of the world's largest insurance adjusting companies with 600 offices worldwide regarding non-compete and injunctive relief concerning C-level executive (Georgia)
- Successful defense and resolution for teenage driver sued in Denton for wrongful death for car crash that killed motorcyclist
- Successful defense of home care nursing company sued for wrongful death
- Obtained no cause dismissal of Sarbanes-Oxley complaint exonerating Fortune 150 company that executive had filed with Department of Labor
- Successful defense of numerous discrimination and other employment claims filed in North Texas against Fortune global 6 company filed with Dept. of Labor
- Successful defense of multi-million dollar breach of partnership agreement lawsuit against Fortune 1000 company (Oklahoma)
- · Defense verdict in favor of Fortune 1000 company sued for \$6 million under partnership agreement

# Results

- Successful defense of a top five executive in Fortune 10 company accused of breaking a non-compete and misappropriating trade secrets
- Successful defense of majority shareholder sued for shareholder oppression and breach of fiduciary duty by minority shareholder
- Successful defense of wrongful death lawsuit for drug manufacturer
- Successful defense and dissolution of TROs obtained in Houston and Dallas against Fortune global 300 company for tortious interference with contract and employee raiding involving its hiring of numerous executives from competitor
- Obtained take nothing dismissal in favor of lending broker sued for \$8 million for fraud, negligence and breach of contract involving a real estate development project
- · Obtained take nothing dismissal in favor of Fortune 500 executive sued in a glass ceiling class action lawsuit
- Won divorce trial enforcing Client's 10.5 million marital property agreement
- · Successful defense of law firm partner sued by former partner regarding dispute over a \$13+ million fee
- \$750,000 whistleblowing settlement for a C-Level exec working for company in India (client's net \$600,000) (North Carolina)
- \$925,000 settlement in FINRA arbitration for Barron's national top 100 financial advisor fired by Wall Street firm (Client's net \$610,000)
- \$1 million settlement in arbitration for fired executive (Client's net \$950,000)
- Won \$1 million FINRA arbitration award for fired Los Angeles Barron's national top 100 financial advisors against Goldman Sachs (Client's net \$580,000) (California)
- \$1.03 million settlement for Wall Street broker laid off by a New York investment bank
- \$1.04 million verdict for nurse for bullying and wrongful discharge. When doctors threatened bankruptcy, obtained settlement of \$440,000 (Client's net \$152,000)
- \$1.1 million severance for fired Branch Manager of international investment bank with a market cap exceeding \$30 hillion
- \$1,135,00 settlement for minority partner for breach of fiduciary duty against \$250 million private equity fund
- Obtained \$1.17 million in CIC payments for fired Vice President of Fortune 400 Oil & Gas Company (Pennsylvania)
- \$1.2 million verdict for client suing for sexual harassment, sex discrimination and retaliation (Client's net, \$240,000)
- \$1.2 million for Managing Director of a British petroleum company who exercised "good reason" termination after a change-in-control (England)
- \$1.2 million settlement at trial for City of Beaumont suing for breach of contract and warranty involving industrial pumps (Client's net \$850,000)
- \$1,299,000 settlement for change-in-control and sex discrimination claims for female SVP at Fortune 400 oil & gas company (Client's net \$1,232,000) (Oklahoma)
- \$1.375 million settlement for invasion of privacy (Client's net, \$880,000)
- \$1.4 million settlement for construction defects in Missouri lake house (Client's net \$785,000) (Missouri)
- \$1.45 million severance package for executive with dairy company (Client's net \$1,428,000)
- \$1.6 million settlement of sex discrimination claims for female VP fired by oil & gas LLC (Client's net \$1,502,000)
- \$1.67 million settlement for CEO fired from computer consulting company (Virginia)
- \$1.7 million in cash and real property for minority shareholder in a shareholder oppression lawsuit. (Client's net \$1.3 million)
- Obtained \$1.784 million CIC good reason resignation payment for VP of Fortune 300 oil & gas company (Client's net \$1.781 million)
- \$2.2 million settlement for Client suing designer of display causing store fires in Florida and Massachusetts (Client's net \$1.6 million) (Massachusetts and Florida)
- \$2.395 million settlement for minority shareholder in shareholder oppression lawsuit
- \$2.5 million settlement for minority shareholder alleging shareholder oppression (Client's net \$1.8 million)
- \$2.5 million for fired executive of Fortune 50 food and beverage company
- Won \$2.5 million FINRA arbitration award in San Francisco for a fired Barron's national top 100 financial advisors (Client's net \$1,300,000) (California)

# Results

- \$2.6 million for a fired C-level executive of a Fortune 200 homebuilding company
- \$2.6 million settlement for fired C-level executive of Fortune 100 beverage company (Canada)
- \$2.6 million settlement for hospital in commercial arbitration regarding improper installation of electrical system (Client's net \$1.9 million)
- \$2,642,000 settlement for change-in-control claims for Fortune 400 oil & gas C-Level executive (Oklahoma)
- \$2.8 million settlement for Pizza Inn CEO, who was fired after proxy fight and who Pizza Inn had sued for \$9.4 million (Client's net \$1,953,286)
- \$3.06 million settlement for construction defects in 40,000 square foot mansion (Client's net \$2.3 million)
- Obtained \$3.1 million change in control payments to four California and Utah executives at alternative energy company (Clients' net \$2,477,000) (California and Utah)
- \$3.1 million settlement for President of an oil and gas company fired after a change-in-control
- \$3,663,516 settlement for change-in-control claims for Fortune 400 oil & gas C-Level executive (Oklahoma)
- \$3.7 million settlement for CIC payments for fired SVP of Fortune 400 Oil & Gas Company (Oklahoma)
- \$3.95 million settlement for ousted part-owner for his interest in engineering firm (Client's net \$3.94 million)
- \$4 million settlement for President of an oil and gas company fired after a change in control
- \$4.1 million severance package for President of an oil and gas company who exercised "good reason" termination after a change in control
- \$4.5 million retirement/consulting package for Senior Vice President of manufacturing company
- \$4.5 million settlement for C-level executive of Scottish oil and gas company fired after a change in control (Scotland)
- \$4.8 million settlement for owner of salt dome storage facility against operator for causing well blowout (Client's net, \$3.1 million)
- \$4.9 million settlement for fired CEO who sued for disability discrimination
- · Successful representation of Buster Corley, co-founder of Dave & Busters when he sold his interests in the company
- \$6 million settlement for Australian citizen who was COO of oil and gas company fired after a change in control (Australia)
- \$6.1 million severance package and negotiated succession plan for Chairman/CEO of a bank with more than \$11 billion in assets
- \$6.5 million settlement for public utility damaged by negligent power interruption (Arizona)
- Ongoing representation of CEO fired because of his sexual orientation (Hawaii)
- Obtained \$7.28 million in CIC payments for fired SVP of Fortune 400 Oil & Gas Company
- \$7,556,900 FINRA arbitration award for two financial advisors against Goldman Sachs for violating USERRA and for wrongful discharge (California) (Clients' net \$3,118,141)
- Ongoing representation of former Title IX Investigator regarding discrimination and Title IX claims against Baylor University
- Successful prosecution and settlement of class action lawsuit for 10 Park Rangers seeking upgraded status to become Dallas Police Officers
- \$8.3 million severance for Canadian citizen who resigned as CEO of Fortune 200 bottling group (Canada)
- \$8.7 million settlement for recycling facility damaged by the negligence of a power company in New Mexico (Client's net, \$4.4 million) (New Mexico)
- Negotiated 8 year contract, including \$7.8 million up-front bonus, for one of the top 5 radio personalities in the US, syndicated on 200+ stations. Contract gives client the right to self-market products and endorsements and keep 75% of the proceeds
- \$9.6 million settlement for insurance carrier in a commercial dispute with a flood zone determination company (Client's net, \$7.1 million) (Missouri)
- Successful negotiation of severance package for a departing partner including \$165,000 cash payment and a 1% trailing interest in an estimated \$800 million worth of real estate development deals
- Successful defense of oil and gas company exercising preferential purchase rights involving O&G properties in New Mexico and Texas worth \$1.5+ billion (New Mexico)
- · Won take nothing dismissal for insurance carrier sued for \$2.5+ million on equipment breakdown insurance policy
- Successful defense of nationwide insurance carrier in 85 asbestos lawsuits filed by 2,500+ plaintiffs (Ohio)

""Rogge is Outback's go-to lawyer for significant commercial litigation. Also, for more than 20 years we have used Rogge to obtain injunctive relief for Outback in significant cases all across the country. I've seen a lot of trial lawyers in action. Rogge is one of the best."

Ine Kadow

"Rogge, You are one of those five special people in a life. Serious, talented but with a big heart. You remained so accessible and always attentive during a period of upheaval in our lives. We are forever grateful for your confidence, expertise, and personal touch--I owe you."

Brian Horan

"Rogge, thanks for making my transition from American to United a smooth, short and affordable flight." Scott Kirbv

"I have known and worked with Rogge on a number of important matters over the last 10 years, including having engaged him as part of a team of attorneys to handle . . . lawsuits in Texas and New Mexico. His creativity and insight has helped us achieve favorable outcomes."

David W. Copeland

"As a financial services company with more than \$2 billion in receivables and 750 employees in multiple states, we need a lawyer versed in the intricacies and particular needs of our industry. Rogge has helped us avoid litigation and solve disputes before they become problems. I have worked with Rogge for more than 15 years as in-house counsel for California Federal Bank and at Triad. I know when I call he will give me logical, workable solutions to the issues our company faces."

Tim OConnor

"Montague County was sued in federal court on an important and novel overtime claim. The County hired Rogge. Rogge and his team engineered a favorable settlement promptly and with a minimum of attorneys' fees."

Jeb McNew

"For many years Rogge has helped CBRE successfully resolve significant litigation and arbitration matters." Ellis Reiter, Jr.

"Rogge handles MADD litigation nationwide. His trial experience has produced great results for our organization." Leslie Moore

"When I was Sr. VP of Operations for Outback, I went to trial with Rogge in two lengthy and difficult lawsuits. His ability to connect with the jurors enabled Outback to win favorable jury verdicts both times. Once Rogge finished his devastating cross exam of the plaintiffs suing Outback, their cases were completely dismantled."

Steve Erickson

"When I needed a top notch attorney for advice on my employment contract, I chose Rogge. He was knowledgeable and very responsive. He protected my economic interests and professional reputation. Rogge knows how to play hardball. Big league managers to Fortune 500 CEOs choose Rogge for help in major employment and business disputes. Given his knowledge, experience, and reputation, Rogge is someone I have on my team."

Buck Showalter

"I have been opposite Rogge in protracted litigation and trial. Rogge is a hard fighter, a tireless advocate, and a consummate professional. During the trial in which we were against each other, he related well to New Orleans jurors. Since that case, I have referred clients to Rogge and continually receive positive reports on The Rogge Dunn Group. Rogge is a trial lawyer who will not blink, will always be prepared, and takes pride in getting solid results."

William Kelly, Esq.

"Rogge successfully enforced a non-compete for our company. When the former employee counter-claimed against us, Rogge's cross-examination at trial destroyed his case and enabled LODESTAR to win a great result. I have worked with some of the top lawyers in New York and Boston. Rogge's trial skills are among the best I've seen."

Lori Lynn

"When our trade secrets were misappropriated and a rogue website infringed on our trademark, we needed quick action. Rogge and his team promptly filed a federal trademark lawsuit and obtained a TRO and permanent injunction in state court. Rogge's understanding of the IT and IP issues enabled us to win a great result."

Mark Running

"As one of the largest forensic firms in the United States with 18 offices nationwide, our company needs a lawyer with national trial experience. Rogge has served our company as a counselor for 20+ years. When necessary, Rogge vigorously files lawsuits, quickly obtains TROs and wins permanent injunctions. Rogge is fantastic in court and is a winner."

Steve Powell

"Too many attorneys create roadblocks. It's refreshing to work with Rogge, who is a problem-solving trial attorney who helps us reach win/win resolutions."

Hal Rose

"Rogge grew up in the restaurant business and understands food and beverage issues. We turn to Rogge for legal advice because he knows the hospitality industry, knows Texas, and helps us avoid lawsuits. Rogge gets to the bottom line quickly and efficiently."

Brent Harman

"When a high-level executive was accused of harassment and discrimination, we trusted Rogge to investigate. His thorough and fair investigation enabled our company to make the right decision."

Wally Rosvall

"When our need for outside counsel arises, it usually demands prompt resolution. Rogge allows us to focus on our business, while he focuses on resolution. Bottom line: Rogge is the go-to guy. When our company had a business dispute with a Fortune 150 company, we needed a trial lawyer who understood large commercial transactions. Rogge filed suit and his aggressive strategy and tactics enabled us to promptly obtain a favorable settlement."

Randy Cimorelli

"In our fast-moving industry we need a business-savvy attorney who can take action on a moment's notice. Rogge is usually in the office by 5:30 a.m. and is available 24/7. We work on projects throughout the country and need outside counsel with a broad range of employment, business, and litigation experience. When we require help on significant legal matters, we turn to Rogge."

Ran Holman

"Rogge is a problem-solver whose proactive advice enables our organization to avoid legal problems. His integrity and ability to get to the bottom line helps us make the right decisions. However, when litigation is necessary, Rogge is the T-Rex of trial lawyers."

Nicole Small

I've watched trial lawyers handle high profile cases from the Agent Orange litigation to the Exxon Valdez oil spill trial. Rogge's the best. Jurors love him."

George Speckart

"Our Company was sued for \$38 million regarding pressure vessels on offshore gas rigs. Rogge and his team won a summary judgment exonerating us of all liability and won a \$92,000 judgment for our attorneys fees against the plaintiff." Jean Cohn

"Restaurateurs trust Rogge. When it came time for me to sell my interests in the restaurant chain I created and loved, it was important to leave the right way. Not only did Rogge protect my financial interests, he made the transition smooth and professional for all concerned."

**Buster Corley** 

"Rogge did an excellent job of representing me and assisting Tech in winning the Coach Mike Leach lawsuit." Guy Bailey

"When a PE firm with an activist investor reputation bought a controlling stake in the company, I knew I was in for a fight. They fired me without good cause, triggering my Change in Control benefits. They refused to pay my CIC benefits, falsely accused me of poison pill tactics and sued me personally for \$9.4 million. Then they waged a PR campaign against me. Rogge and I fought back and on the morning we started trial, they settled and paid me \$2.8 million, a \$12.2 million swing in my favor. And, my actions and reputation were vindicated in a New York Times article."

"I trust Rogge. When I decided to retire and prepare a secession plan, I sought Rogge's advice. Rogge has extensive experience helping C-level executives at major companies. He has an excellent reputation among top executives. Rogge helped me negotiate key exit provisions to protect my equity interests and at the same time ensure a smooth transition to safeguard the bank and its shareholders. I've also sought Rogge's help on the front end to negotiate an executive contract with equity awards."

George Jones

"Rogge knows the financial industry. He helps relationship managers, investment bankers, branch managers and banking executives. When I decided to transition from a financial advisor to a relationship banker, I trusted Rogge. Rogge knows the ins and outs of non-compete law and facilitated my smooth and amicable transition. I hired Rogge a second time when a former employer tried to obtain a Temporary Restraining Order against me. Rogge defeated their attempt to obtain the TRO, and then negotiated a favorable settlement for me. Rogge, you are my lawyer for life."

Chris Doxey

"Fighting off enforcement of a large promissory note by a big firm is tough. The odds are skewed in favor of the firms. When I had to do battle with my old firm, I hired Rogge. He was committed to going all the way. Rogge shines in trial; he carved up my former Branch Manager on the witness stand during the trial. Rogge's cross-examination was not only fun to watch, but enabled me to win a 1/3 reduction of the amount my old firm was seeking. After the arbitration, Rogge stuck with me and wrote off a substantial portion of his fees. He then assisted me for free with additional legal strategies that wiped out the rest that I owed without me having to pay anything on the promissory note. Rogge, many thanks for a job well done. I'd go into battle with you anytime."

Rich Dwyer

"When I needed an inaccurate statement on my U-5 expunged, I interviewed several attorneys. They said on the one hand this could happen, on the other hand that could happen. Rogge was different; he presented me with a firm game plan. Rogge then quickly implemented the game plan and successfully obtained the expungement in less than 90 days." Sean Lewis

"The key to success in business is building strong relationships with qualified people you can trust. As I was growing our company, I needed a lawyer who could not only help our company but an attorney I could trust as my personal lawyer for the long term. Rogge has been a reliable, creative and responsive partner in both areas. He and his firm have taken great care of me personally and my company for more than 20 years. With operations in more than 45 states we needed a lawyer who had handled matters across the U.S. and had relationships with other lawyers around the country. Rogge gets it; he understands the importance of long-standing relationships better than any attorney I have dealt with. When we were sued in California we hired big firm lawyers in California and received high bills, but no results. We asked Rogge to take over the case and his aggressive litigation tactics enabled us to resolve the case favorably on a cost-effective basis."

"When it came time to sell our company, I needed an attorney experienced in liquidity events who also had a-†reputation as a tough litigator, in case the sale had to be resolved in the courtroom. I did my due diligence and Rogge came highly recommended. Entrepreneurs trust Rogge."

Angela Calvert

"Every entrepreneur who builds, runs and sells companies needs Rogge in his corner. I have truly enjoyed working with Rogge for the last 10+ years. He has helped me and my companies stay out of trouble. And, when liquidity events occur, Rogge helps maximize founders' returns."

Matt McIntyre

"When it came time to retire, I hired Rogge to protect my deferred compensation and ensure a professional departure. Rogge helped me obtain a successful departure promptly with a minimum of attorneys' fees."

Phillip Douglas

"Rogge has an excellent reputation for helping entrepreneurs and business owners navigate legal issues. He is also well-respected in the restaurant industry. When it came time for me to sell my interests in our restaurant chain, he was my top choice. Rogge's advice enabled me to cost-effectively negotiate the sale of my equity interests promptly and without any hiccups."

Marty Garvey

"When myself and my fellow C-level executive's had to fight for our change in control payments, we banded together and hired Rogge. His knowledge of California law and experience enforcing CIC agreements helped us quickly achieve our goals."

Rafael Dobrzynski

"Thank you for your legal work on my behalf. I appreciate your prompt assistance and efficiency." Larry Brown

"Rogge, I cannot begin to thank you enough. You are a star! You are an excellent lawyer, thorough, extremely well prepared, fun and well-connected! Thanks again for your prompt and successful resolution of a significant and challenging legal matter for Benihana."

Cristina Mendoza

"Rogge is well known for his expertise in helping C-level executives negotiate contracts and non-compete issues. Not only is he a good counselor and trusted negotiator, but also an excellent trial attorney. I had the opportunity to observe him in action in a mock trial and it's comforting to know that if litigation is necessary, the same person who drafted an executive contract has the communication skills necessary to persuade a jury that the contract is both valid and fair."

Fred Perpall

"Ex-pats face a lot of challenges, not the least of which is understanding their legal rights in their home country and the foreign country in which they work. When I was in a tough fight for substantial change in control benefits, I hired Rogge. He was willing to work on a contingent fee. We worked together as a team, which enabled me to obtain a fair and just resolution of my dispute. Since then I've hired Rogge on two other occasions to provide me with advice and negotiation assistance on my executive employment contracts."

Glen Camp

"Lawyers are the most demanding type of clients. When lawyers face partnership disputes, they call Rogge. When partners left my law firm, Rogge's creative solutions resolved the dispute quickly and amicably, with minimal attorneys' fees."

John Brusniak

"Rogge is a trusted Wall Street advisor. Rogge has helped relationship managers, investment bankers, branch managers, and executives. When I decided to change firms, I put my trust in Rogge. He is extremely knowledgeable, well-informed and thorough. Rogge facilitated a smooth and amicable transition."

Marc Reinganum, PhD

"For 20 years, I've turned to Rogge to handle significant legal matters. Rogge's nationwide experience with real estate, partnership, REIT and investment matters helps our company avoid legal problems. Rogge understands the big picture and also addresses the details. Rogge is our trusted counselor and litigator."

Tony Dona

"Taking an executive position in a foreign company and working overseas requires the advice of a lawyer who understands the novel and complicated issues involved. Rogge, thanks for making my transition from PepsiCo to Alibaba successful."

Jim Wilkinson

"Rogge, your negotiating strategy and tactics worked to perfection. Remind me to never play poker against you." Gary Borders

"As a Brazilian citizen working in the U.S. and Brazil, dealing with American legal issues is a scary proposition. When I had a dispute with a broker-dealer, my Brazilian attorneys recommended Rogge. Rogge successfully resolved the issues with my old firm and helped make the transition to my new firm easy. He took the time to explain all of my rights and the pros and cons of my legal options and he partnered well with my Brazilian counsel. Rogge gave me the advice I needed to make the right decisions. And, it was convenient and efficient to have one attorney handle both my departure and my transition."

Edmundo Valente

"Over the last 15 years I've worked with Rogge on several significant legal matters. I trust Rogge. Rogge provides me with proactive and innovative strategies to help the companies and organizations I lead avoid legal troubles. Rogge has been involved in major legal battles in the sports industry from the Coach Mike Leach lawsuit, to NCAA investigations, to the Baylor University Title IX issues."

Woody Norris

"When I received a page in a London airport and learned that my husband had been seriously injured in a wreck in Qatar, it was the most frightening day in my life. We had to get Dutch out of the Middle East to a hospital in Europe on a care flight. I turned to Rogge for help. Rogge was there every step of the way to help my husband and me with Dutch's claims against the oil and gas company that did not provide him safe transportation to the oil field equipment he managed. Rogge, thanks for all of your hard work, understanding the intricacies of an overseas dispute and getting us a fair settlement."

Cindy and Dutch Schorr

"Fifteen years ago our company was sued by a competitor for hiring one of its executives. We needed a tough litigator. A senior partner in a large law firm doing our transactional work recommended Rogge. Rogge defeated the competitor attempt to enforce its non-compete. Since then, Rogge has been our go-to lawyer for advice and litigation. As one of the county's largest suppliers of loading dock equipment, with offices in 10 states and Canada, we value Rogge's nationwide litigation experience. Rogge is well-connected with lawyers around the country and positions our company to avoid legal problems with his pro-active strategies. Our company is on call for our clients 24/7. I know I can call Rogge day or night and he will make himself available. I often call him at 6 a.m. on my drive into work and he is at his desk. Rogge is the most responsive lawyer I have ever dealt with. Rogge, thanks for 15 years of great advice, pro-active strategies and prompt service. We look forward to working with you and your team for another 15 years."

"Fifteen years ago our company was sued by a competitor for hiring one of its executives. We needed a tough litigator. A senior partner in a large law firm doing our transactional work recommended Rogge. Rogge defeated the competitor's attempt to enforce its non-compete. Since then, Rogge has been our go-to lawyer for advice and litigation. As one of the county's largest suppliers of loading dock equipment, with offices in 10 states and Canada, we value Rogge's nationwide litigation experience. Rogge is well-connected with lawyers around the country and positions our company to avoid legal problems with his pro-active strategies. Our company is on call for our clients 24/7. I know I can call Rogge day or night and he will make himself available. I often call him at 6 a.m. on my drive into work and he is at his desk. Rogge is the most responsive lawyer I have ever dealt with. Rogge, thanks for 15 years of great advice, pro-active strategies and prompt service. We look forward to working with you and your team for another 15 years."

"I have worked with Rogge for 25+ years on significant and complicated cases all across the country. He has helped us enforce arbitration and non-compete agreements and protect our company's intellectual property. His knowledge of the law, trial expertise and common sense have helped us get to the bottom line efficiently."

Jim Herman

"When it came time for me to retire from UBS after 53 years, I wanted a thoughtful departure that took care of my clients and ensured that I received my deferred compensation and trailing commissions. I also needed help to be sure that despite officially retiring, I would have the flexibility to provide consulting services to family offices and private equity firms without violating my non-compete and/or jeopardizing my deferred compensation and trailing commissions. I did my due diligence and hired Rogge Dunn. He has extensive experience helping financial advisors and executives with retirement and severance negotiations. Rogge helped me achieve my goals in short order without exceeding the initial retainer I gave him. Rogge, thank you again for the excellent guidance and support. Your sunny and positive attitude throughout the entire process was a great help."

Joe Liberty

"From his representation of Baylor's Title IX Coordinator, to helping professional athletes and college administrators, Rogge has been involved in numerous legal matters impacting major issues in athletics. Rogge is my trusted legal advisor."

Tom Buning

"Rogge has a well-deserved reputation for successful representation of top brokers across the country. His track record of helping teams negotiate favorable contracts, fight off non-compete lawsuits, stop defamation and win back millions in forfeited deferred compensation is second to none. He has won some of the biggest, hardest fought arbitrations for Barron's top 100 brokers. Rogge knows the brokerage business, which is why so many top broker teams from coast to coast have hired him when they are formulating an exit strategy."

Eric Gershman

"For top producing financial advisors, Rogge is the go-to guy in our industry. His experience and work ethic are unmatched. He is excellent at resolving or avoiding non-compete and non-solicitation disputes."

David Barnes

"We value Rogge's financial industry experience and his practical approach. We trust him for advice and, when necessary, to handle our litigation."

Carl Esrey

"When it came time for me to depart my CEO position, I needed a seasoned attorney who could swiftly navigate a myriad of facts and circumstances and negotiate a win-win exit. Rogge's reputation as a fearsome litigator was paramount to achieving my goals quickly and professionally. When the chips are down, there's nobody I'd trust in my corner more than Rogge."

Brian Clark

"We trust Rogge to advise us on our most challenging employment issues. He provides a trial lawyer's perspective, which helps us reach the right decision. His hard work and his dedication to clients is second to none."

Laura Tornaquindici

"Our employees are ingrained with the attitude that we will be there when the client needs us--24/7/365. When you need a trial lawyer, you need them NOW. Rogge shares our 24/7/365 client service attitude. For 20+ years United Mechanical has trusted Rogge to help us with our legal issues."

David Osborne

"When it came time for me to move on to my next job, I hired Rogge. His reputation for successfully assisting C-Level execs with contract negotiations, severance packages and handling liquidity events is well known among executives and board members. Rogge helped me achieve my goals promptly and cost-effectively."

Mark Heinold

"I trust Rogge. Rogge not only has knowledge of executive contracts, he has the reputation of being a fearsome trial lawyer. I hired Rogge to negotiate my severance package. Rogge enabled me to reach a win/win resolution." Todd Latouf

"I have worked with Rogge for 20+ years. First as Deputy Executive Director of MADD and then as Executive Director of the Texas PTA. I trust Rogge and regularly seek his advice on legal issues. Rogge is well-known for helping nonprofits navigate the unique legal issues they face. Rogge helps us stay out of trouble and avoid legal disputes and lawsuits. His experience handling legal matters across the country is a plus for organizations who have operations throughout the United States."

Kyle Ward

"When my company forced me to take early retirement, I needed expert legal advice from an attorney experienced in helping clients protect their legal rights regarding departure and deferred compensation. Rogge helped me negotiate a win/win resolution."

James Harris

"As a long-time HR executive, I have worked with a lot of attorneys around the country. Rogge's reputation for helping executives and companies with employment law issues is second to none. Myself and other HR professionals turn to Rogge for advice, negotiation and litigation of employment law matters."

Olivia Wells

"When I needed legal advice, I did my due diligence. Rogge was recommended by numerous FAs and lawyers. Rogge's track record of taking FINRA arbitrations to trial was a hammer the investment bank respected. Rogge listened and, working together, we developed & implemented a successful strategy that achieved my goals in a cost-effective manner." Monica Perez

"Rogge handles high-profile matters and understands the unique legal issues media personalities face. I trust Rogge. Rogge is not only my lawyer, but my friend."

Al Mack a/k/a "Big Al"

"Thank you for your advice and assistance on a number of matters over the years. Your experience in California, your knowledge of FINRA and your connections in the financial industry helped me successfully resolve my ADA dispute, which was seriously compromising my health. While I understand not all of your clients rely on you to fight for them when their health is on the line, your genuine concern for me as a person, literally saved my life. You were always responsive, regardless of your schedule and your work ethic is amazing. Your tenacity and skills during mediation and arbitration makes you particularly effective. I am eternally grateful!"

Bryan Whang

"I'd like to, once again, thank you and your incredible staff for your representation, assistance and kindness. I truly appreciate your energy and vehemently strong passion. It wasn't about the money; I needed to make a stand against racism for myself and others, which I did with your help. Wishing you the very best Rogge!!!"

LeBrasha Mickles

"Due to a historical anachronism, dozens of licensed Peace Officers working for the City were classified as Park Rangers, instead of Dallas Police Officers. This prevented us from obtaining promotions and other benefits Dallas Police Officers enjoyed. We hired an attorney who filed a class action lawsuit and lost. We were at wits end when we heard about Rogge. He took on our case against all odds, tried a new strategy and obtained a tremendous settlement; not only in terms of money, but winning us the opportunity for promotions and other benefits. On top of that, when the case settled, Rogge reduced his fee. Rogge represents police, active military and first responders. He understands what we go through daily and is totally committed to helping first responders in their time of need. Rogge, I can't thank you enough for your loyalty and dedication to our group and for the great result you obtained."

Nick Novello

"As a former branch manager at UBS, who has worked on capital raises, and now as a Partner in an RIA, I have worked with dozens of attorneys in the financial industry/private equity space. Rogge is widely recognized as one of the best attorneys in this field and an exceptional trial lawyer—if a FINRA arbitration or a lawsuit is necessary. Over the years I have hired Rogge on numerous occasions, including representing a team Goldman Sachs was suing in court that was moving to my firm. And I've hired him to defend a registered representative under investigation by FINRA. When I was wrongfully sued in Louisiana, Rogge found me a Louisiana attorney who got the lawsuit transferred to Houston. Then Rogge went to work. He won an Anti-Slapp ruling awarding me all my attorney's fees against the other side. The case then quickly settled in my favor."

Ray DiNunzio

"Rogge, thank you for your advice and counsel on an important legal issue." Ryan Moats

"Rogge thank you for being available 24-7. Your spot on legal advice enabled me to strike out the other side. You came highly recommended from several industry sources and you lived up to your reputation. Your track record with sports industry issues makes you an MVP. When I face legal issues I want you on my team."

Chris Holt

"Companies and PE firms need to make a stand to protect their trade secrets and enforce their non-competes. With businesses worth \$500 million in our portfolio, we take buying and selling insurance agencies very seriously. When a large company hired away key employees and utilized the trade secrets belonging to one of our portfolio companies, we needed quick action. I hired a local attorney who did not produce results. I imported Rogge from Dallas and before long he was able to obtain a very favorable settlement."

Ken Kirk

"I got to know Rogge when he was my Executive MBA Professor at SMU. He is popular with students and one of the toprated professors in the program. His class involves an interactive exchange of ideas and he puts so much effort into making sure that his students understand complex legal topics. I've worked with many lawyers across the U.S and Europe. Rogge gets the business perspective on legal issues and really partners with you to understand what you're trying to achieve, and how to get there in an agile, but rationale, way. Rogge helps our company find common sense, business solutions to difficult and challenging legal issues. He and his firm have become our trusted partner." Lisa Nelson

"We've been in business for 69, the last 40+ on Plano Road. A city Councilman and residents in his district petitioned the City of Dallas to re-name Plano Road to North Lake Highlands Drive. The name change would have caused tremendous confusion among our customers and required us to provide notice of a new billing address on hundreds of construction contracts around Texas. We needed fast action. Rogge coordinated our legal and grass roots lobbying efforts. Our company along with an assisted living facility and other local businesses became an organized force that the City had to reckon with. Thanks to Rogge's leadership, strategic planning and grassroots tactics, the Dallas City Planning Commission unanimously defeated the name change. We protected our brand and saved tens of thousands of dollars in business expenses. Rogge has represented United Mechanical for more than 20 years. We turn to him for advice on a variety of matters. Like our company, he is available to help at all hours of the day and night."

"When I complained to HR about my boss bullying me, I was fired soon thereafter, allegedly for poor performance. I was devastated. I almost lost my house, I lost my insurance and I had to cash in my 401(k) to live. The stress was so bad I developed a stuttering problem for the only time my life, which made it hard to find a job. No attorney would help me and I had nowhere to turn. I read about Rogge and asked him to take a chance on me. Rogge took my case on a pure contingent fee paying for everything, even though it was a long, tough fight against wealthy doctors. Rogge stuck by me when I turned down a six-figure settlement offer. We went to trial and won a \$1,060,000 verdict. While I appreciated the money, holding my bosses accountable and having a jury finding that I was bullied and wrongfully discharged was worth much more. Both Rogge and I were in tears when the verdict was read. I went back to school, completing my RN and I am now making more money in a wonderful job. Rogge thank you, Josh and your tremendous team for sticking with me through thick and thin and never once wavering in your total support of me and my case. I will be forever grateful for the help."

Patty Hahn

"I got to know Rogge the hard way, when I was CEO of Pizza Inn and he was suing our company over a change in control lawsuit I inherited. Rogge's tenacious, bulldog approach impressed me then. Later, I twice hired him to help me with various C-level legal issues. Rogge's extensive experience handling major issues for franchisors and entrepreneurs is invaluable; his restaurant experience is a plus. Every CEO, entrepreneur and business owner needs someone like Rogge in their corner. I have never hesitated to recommend Rogge to other C-level execs."

"I got to know Rogge early in his career when I was opposing counsel in an Austin lawsuit. Rogge impressed me then as someone who creative, hardworking and dedicated to his clients. Years later we were on opposite sides of a significant case involving invasion of privacy where Rogge obtained a good result for his clients. If my firm needed a lawyer to defend it, I would hire Rogge."

Jim Ewbank

"Helping relationship managers move firms requires careful consideration of promissory notes, deferred comp, non-competes, TROs and the Protocol for Broker Recruiting. As a former branch manager for UBS and now as a placement specialist, I've seen a number of attorneys assisting relationship managers. Rogge's experience and knowledge in this space makes him the best choice for teams who are transitioning. Not only does he give good advice, but when necessary, he is fearsome litigator who has successfully taken on the big wire houses and investment banks."

Chris Miller

"I've worked closely with Rogge on numerous significant litigation matters for 15+ years. He defended me in deposition and I've seen him in action. His attention to detail, energy and enthusiasm is second to none. He is knowledgeable about the law, but most important, he is 'street smart,' which enables him to relate to our employees and connect with a jury." Paul Avery

"A former employer tried to obtain a Temporary Restraining Order against me with 6 hours notice, I needed help fast. Multiple bankers recommended Rogge. Oldco tried to prevent me from doing business with my long-time clients. Rogge stopped them dead in their tracks. Once they lost the TRO, they lost any momentum and Rogge negotiated a great settlement. Rogge, thanks for your help, courtroom win and your reasonable bills.

Rogge is a seasoned trial lawyer with strong negotiation tactics, who goes above and beyond to ensure that his clients are represented with the utmost care and security that will yield the best possible outcome for his clients. Rogge is a 'pit bull' and Rogge Dunn Group is a force to be reckoned with. I could go on and on, but don't want to take up the entire page." Dustin Lamb

"Rogge Dunn has always been extremely helpful and 100% honorable in all my interactions with him, and with the advice he has given me through the years."

Glenn Taylor

"For many years I've hired Rogge for advice and counsel on legal issues. His Firm's creativity and 'outside the box' ideas are a valuable tool that helps us avoid litigation. The Rogge Dunn Group has a stable of thoroughbred lawyers I can rely on." Stephen Rizzieri, Esq.

"Whether it's helping with an exit strategy, negotiating an executive employment agreement with a new firm, or fighting off a non-compete, Rogge and his Firm is a C-Level Executive's most valuable asset."

Joe Luongo

"For 20 years I've had the pleasure of working with Rogge and seeing him in action at trials, mock trials and depositions. Rogge is a creative thinker with street smarts. Rogge and the Rogge Dunn Group are our trusted legal resource." Blaise Hadley

"Every restaurateur's worst nightmare is the murder of their employees. When two former employees robbed and killed three Outback Steakhouse employees in East Texas, we needed an accomplished trial lawyer who understood our culture and could connect with our employees. We chose Rogge. Outback has always used every security technique to provide a safe workplace. Rogge vindicated Outback's approach to security and got the plaintiffs' cases dismissed in their entirety on a summary judgment motion."

Blaise Hadley

"Rogge's reputation in the sports industry space is well-known. He is a people person. He knows how to deftly work behind the scenes without publicity to help his clients; but, if necessary, how to take a high-profile case to trial."

Kris Lowe

"When I had a dispute over compensation and management issues in our medical practice group, I hired Rogge. I had an uphill battle because the group was owned a multi-billion dollar health care conglomerate. I couldn't see any light at the end of the tunnel and only stress for an extended period. During a time of great personal strife, Rogge helped me negotiate a favorable exit that provided personal and financial relief, while discounting his fees. Rogge, thank you for being more than a lawyer---a friend and a confidante. I still remember your words during a tough moment 'I don't abandon my clients.'

Hariprased Trivedi, M.D.

"When I earned my Executive MBA at SMU, Rogge was one of my best professors. As a successful trial attorney, Rogge made business law come alive."

Doug Renfro